

# Agenda

**Meeting: Audit Committee**

**Venue: Grand Meeting Room, County Hall,  
Northallerton**

**Date: Thursday 18 July 2013 at 1.30 pm**

## Business

1. Minutes of the meeting held on 27 June 2013.

(Pages 1 to 8)

2. Public Questions or Statements.

Members of the public may ask questions or make statements at this meeting if they have given notice to Ruth Gladstone of Democratic Services (*contact details below*) by midday on Monday 15 July. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

## Audit Matters

Enquiries relating to this agenda please contact Ruth Gladstone **Tel: 01609 532555**  
Fax: **01609 780447** or e-mail [ruth.gladstone@northyorks.gov.uk](mailto:ruth.gladstone@northyorks.gov.uk) (or 0800 220617 after office hours)

[www.northyorks.gov.uk](http://www.northyorks.gov.uk)

[https://www3.northyorks.gov.uk/n3cabinet\\_comm/audit\\_/agendas\\_/default.htm](https://www3.northyorks.gov.uk/n3cabinet_comm/audit_/agendas_/default.htm)

3. External Auditor – Audit Planning Reports:-

(a) **North Yorkshire County Council - Planning Report for 2012/13 Audit.**  
(Pages 9 to 41)

(b) **Briefing on Audit Matters – County Council.**  
(Pages 42 to 46)

(c) **North Yorkshire Pension Fund – Planning Report for the 2012/13 Audit.**  
(Pages 47 to 71)

(d) **Briefing on Audit Matters – Pension Fund.**  
(Pages 72 to 75)

<b>Final Accounts Items</b>
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4. **Statement of Final Accounts 2012/13 – North Yorkshire Pension Fund** – Report of the Corporate Director – Strategic Resources.  
(Pages 76 to 78)

5. **Statement of Final Accounts 2012/13 – North Yorkshire County Council** - Report of the Corporate Director – Strategic Resources.  
(Pages 79 to 99)  
(Draft Statement of Accounts 2012/13 collated as a separate document)

<b>Other Items</b>
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6. **Annual Review of the Contract Procedure Rules** – Report of the Corporate Director – Strategic Resources.  
(Pages 100 to 186)

7. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Carole Dunn  
Assistant Chief Executive (Legal and Democratic Services)

County Hall  
Northallerton

10 July 2013

**Notes:**

(a) Members are reminded of the need to consider whether they have any interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

(b) **Emergency Procedures for Meetings**

**Fire**

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From the **Grand Meeting Room** this is the main entrance stairway. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

**Accident or Illness**

First Aid treatment can be obtained by telephoning Extension 7575.

# AUDIT COMMITTEE

## 1. Membership

<b>County Councillors (8)</b>							
	<i>Councillors' Names</i>				<i>Political Party</i>		
1	ATKINSON, Margaret ( <i>Vice-Chairman</i> )				Conservative		
2	BACKHOUSE, Andrew ( <i>Chairman</i> )				Conservative		
3	BROADBENT, Eric				Labour		
4	BURR, Lindsay				Liberal Democrat		
5	CHANCE, David				Conservative		
6	CLARK, Jim				Conservative		
7	MULLIGAN, Patrick				Conservative		
8	PARSONS, Stuart				NY Independent		
<b>Members other than County Councillors (3)</b>							
1	Vacancy						
2	DAGLISH, James <sup>2</sup>						
3	PORTLOCK, David <sup>2</sup>						
<b>Total Membership – (11)</b>				<b>Quorum – (3 ) County Councillors</b>			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	1	1	1	0	0	0	

## 2. Substitute Members

<b>Conservative</b>		<b>Liberal Democrat</b>	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	FORT, John BEM	1	
2	HARRISON-TOPHAM, Roger	2	
3	SANDERSON, Janet	3	
4	METCALFE, Chris	4	
5		5	
<b>NY Independent</b>		<b>Labour</b>	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BLACKIE, John	1	
2		2	
3		3	
4		4	
5		5	
<b>Liberal</b>		<b>UKIP</b>	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1		1	
2		2	
3		3	
<b>Independent</b>			
1			

<sup>2</sup> for term of office see County Council resolution of 19 May 2010.